

Docket No.: 57454-037

## REQUEST FORM FOR CONTINUATION APPLICATION UNDER 35 USC 111(a)

JC971 U.S. PTO  
09/804158  
03/13/01

Prior Application: International Application No. PCT/JP00/04709  
Art Unit: (Unassigned)  
Examiner: (Unassigned)

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

This is a Request for filing a continuation or continuation-in-part application, entitled PHASE SHIFTER FILM AND PROCESS FOR THE SAME, under 35 U.S.C. 111(a) of pending prior International Application No. PCT/JP00/04709, filed on July 13, 2000, entitled PHASE SHIFTER FILM AND PRODUCTION METHOD THEREFOR, by the following named inventors:

| INVENTOR          | RESIDENCE           | CITIZENSHIP | POST OFFICE ADDRESS  |
|-------------------|---------------------|-------------|--|
| Susumu KAWADA     | Chichibu-shi, Japan | Japanese    | c/o ULVAC COATING CORPORATION, 2804, Oaza Terao, Chichibu-shi, Saitama 368-0056 Japan            |
| Akihiko ISAO      | Chichibu-shi, Japan | Japanese    | c/o ULVAC COATING CORPORATION, 2804, Oaza Terao, Chichibu-shi, Saitama 368-0056 Japan            |
| Nobuyuki YOSHIOKA | Tokyo, Japan        | Japanese    | c/o MITSUBISHI DENKI KABUSHIKI KAISHA, 2-3, Marunouchi 2-chome, Chiyoda-ku, Tokyo 100-8310 Japan |
| Kazuyuki MAETOKO  | Tokyo, Japan        | Japanese    | c/o MITSUBISHI DENKI KABUSHIKI KAISHA, 2-3, Marunouchi 2-chome, Chiyoda-ku, Tokyo 100-8310 Japan |

1. ☐ I hereby verify, to the best of my knowledge, that the enclosed copy of this prior application is a true copy of the above-identified prior application, including the oath or declaration as originally filed.
2. ☐ Preliminary Amendment is enclosed.
- 2a. ☒ An Information Disclosure Statement and PTO1449 Form are submitted herewith.



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PATENT TRADEMARK OFFICE

3. ☐ Cancel claims

4. The filing fee is calculated on the basis of the claims existing in the application as amended at 3 above:

|   | NO. OF<br>CLAIMS |     | EXTRA<br>CLAIMS | RATE      | AMOUNT           |
|---|------------------|-----|-----------------|-----------|------------------|
| Total Claims  | 65               | -20 | 45              | \$18.00 = | \$810.00         |
| Independent Claims  | 11               | -3  | 8               | \$78.00 = | \$640.00         |
| Basic Application Fee                                     |                  |     |                 |           | \$710.00         |
| If multiple dependent claims are presented, add \$260.00  |                  |     |                 |           | \$0.00           |
| Total Application Fee                                     |                  |     |                 |           | \$2160.00        |
| Subtract ½ if small entity                                |                  |     |                 |           | \$0.00           |
| <b>TOTAL APPLICATION FEE DUE</b>                          |                  |     |                 |           | <b>\$2160.00</b> |
| <b>AMOUNT TO BE CHARGED TO DEPOSIT ACCOUNT NO. 500417</b> |                  |     |                 |           | <b>\$2160.00</b> |

4a. ☐ Enclosed is a Verified Statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.

4b. ☐ A verified Statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27 was filed in prior application and such status is still proper and desired.

5. ☒ The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 which may be required, including any extension of time fees to maintain the pendency of the this application, and credit any overpayment to Deposit Account No. 500417.

6. ☐ Amend the specification by inserting before the first line the sentence:

7. ☒ Priority of Patent Application Serial No. 11-199941, filed on July 14, 1999 in Japan; and International Application No. PCT/JP00/04709 filed July 13, 2000 designating the U.S. is claimed under 35 USC 119, 120 and/or 365. A certified copy of the international appliation priority document is enclosed.

8. ☒ The prior application is assigned of record to ULVAC COATING CORPORATION and MITSUBISHI DENKI KABUSHIKI KAISHA

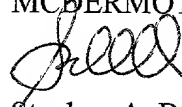
9. ☐ The power of attorney in the prior application is to:

10. ☒ Also enclosed:  
Cover Sheet of Published Application
11. ☐ A petition, fee and response has been filed to extend the term in the pending prior application until .
12. ☒ Address all future communications to: (May only be completed by applicant, or attorney or agent of record)

McDERMOTT, WILL & EMERY  
600 13th Street, N.W.  
Washington, DC 20005-3096

Respectfully submitted,

McDERMOTT, WILL & EMERY

  
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